

11321-P012USD6



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PATENT

- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.

Serial No.: 10/032,932

Filed: December 28, 2001

Art Unit: 1754

Examiner: Not Assigned

For: METHOD FOR FORMING COMPOSITES OF SUB-ARRAYS OF SINGLE-WALL CARBON NANOTUBES

**APPLICANTS' RESPONSE TO  
PTO'S NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Assistant Commissioner for Patents  
Washington, D. C. 20231

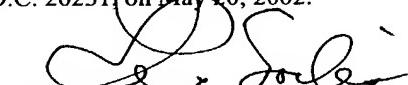
Sir:

1.  This replies to the Notice to File Corrected Application Papers mailed April 4, 2002.
- A copy of the Notice to File Corrected Application Papers is attached.

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**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on May 20, 2002.

  
Signature

Gracie Solis  
(Printed name of person certifying)

**ABSTRACT**

2.  Enclosed is a substitute specification in compliance with 37 C.F.R. §1.52 and the Abstract on a separate sheet.

**PAYMENT OF FEES**

3.  Enclosed is a check in the amount of \$\_\_\_\_\_ (Surcharge fee) and a check for \$\_\_\_\_\_ (Abstract).
- Charge Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_. **A photocopy of this request is enclosed.**
- Applicants believe no fees are due at this time. However, should any fees be required, the Commissioner is hereby authorized to charge any deficiency in fees, or credit any overpayment, to Account No. 23-2426 (11321-P012USD6). **A photocopy of this request is enclosed.**

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

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COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/032,932         | 12/28/2001          | Richard E. Smalley    | 11321-P012USD6         |



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**CONFIRMATION NO. 9910**  
**FORMALITIES LETTER**

\*OC000000007795448\*

Date Mailed: 04/04/2002

**NOTICE TO FILE CORRECTED APPLICATION PAPERS*****Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - do not contain writing on only one side of the page. *The drawings filed are unacceptable because: Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*
- Abstract must be on a separate sheet.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202  
 PART 2 - COPY TO BE RETURNED WITH RESPONSE